

# Internal dynamics in wandering domains

Núria Fagella

(Joint with A. M. Benini, V. Evdoridou, P. Rippon and G. Stallard)

Universitat de Barcelona  
and  
Barcelona Graduate School of Mathematics

4a Jornada de Sistemes Dinàmics de Catalunya  
25 d'octubre de 2019



UNIVERSITAT DE  
BARCELONA

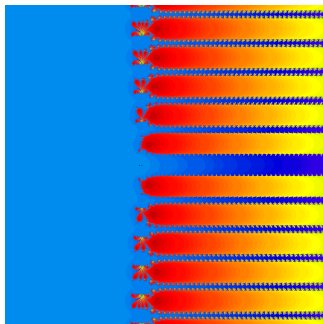
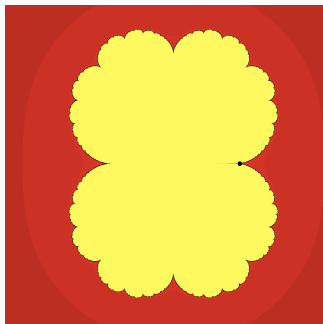


BGSMath  
BARCELONA GRADUATE SCHOOL OF MATHEMATICS

## Holomorphic dynamics in $\mathbb{C}$

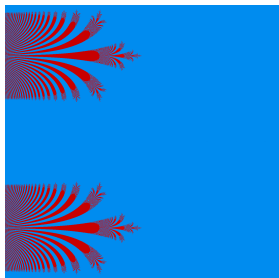
The complex plane decomposes into two **totally invariant sets**:

- **The Fatou set (or stable set)**: basins of attraction of attracting or parabolic cycles, Siegel discs (irrational rotation domains), ... [**Fatou classification Theorem, 1920**]
- **The Julia set (or chaotic set)**: the closure of the set of repelling periodic points (boundary between the different stable regions).

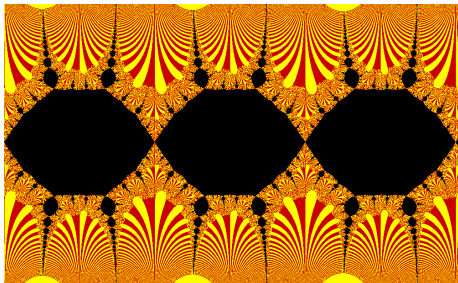


## Transcendental dynamics

- If  $f : \mathbb{C} \rightarrow \mathbb{C}$  has an essential singularity at infinity we say that  $f$  is **transcendental**.
- Transcendental maps may have Fatou components that are not basins of attraction nor rotation domains:
  - $U$  is a **Baker domain** of period 1 if  $f^n|_U \rightarrow \infty$  loc. unif.
  - $U$  is a **wandering domain** if  $f^n(U) \cap f^m(U) = \emptyset$  for all  $n \neq m$ .



$$z + 1 + e^{-z}$$



$$z + 2\pi + \sin(z)$$

# Wandering domains: a program

Quite uncharted territory . . .

- They do not exist for rational maps [Sullivan'82] – only for transcendental.
- “Recently” discovered – First example (an infinite product) due to Baker in the 80's (multiply connected, escaping to infinity)
- It is not easy to construct examples – WD are not associated to periodic orbits.
- They do not exist for maps with a finite number of **singular values**.

# Singular values

Holomorphic maps are local homeomorphisms everywhere except at the **critical points**

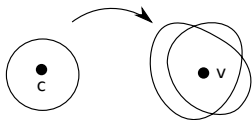
$$\text{Crit}(f) = \{c \mid f'(c) = 0\}.$$

**Singular values:**

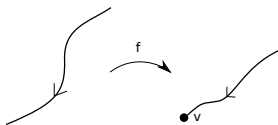
$$S(f) = \{v \in \mathbb{C} \mid \text{not all branches of } f^{-1} \text{ are well defined in a nbd of } v\}.$$

These can be

- **Critical values**  $CV = \{v = f(c) \mid c \in \text{Crit}(f)\}$ ;
- **Asymptotic values**  $AV = \{a = \lim_{t \rightarrow \infty} f(\gamma(t)); \gamma(t) \rightarrow \infty\}$ , or
- accumulations of those.



critical value



asymptotic value

## Special classes

Some classes of maps are singled out depending on their singular values.

- The **Speisser class or finite type maps**:

$$\mathcal{S} = \{f \text{ ETF (or MTF) such that } S(f) \text{ is finite}\}$$

Example:  $z \mapsto \lambda \sin(z)$

Maps in  $\mathcal{S}$  have **NO WANDERING DOMAINS**.

[Eremenko-Lyubich'87, Goldberg+Keen'89]

- The **Eremenko-Lyubich class**

$$\mathcal{B} = \{f \text{ ETF (or MTF) such that } S(f) \text{ is bounded}\}$$

Example:  $z \mapsto \lambda \frac{z}{\sin(z)}$ .

Maps in  $\mathcal{B}$  have **NO ESCAPING WANDERING DOMAINS**.

[Eremenko-Lyubich'87]

## Types of wandering domains

- $\{f^n\}$  form an equicontinuous family on a Wandering domain  $U$ .
- All limit functions are constant in  $J(f) \cap \overline{P(f)}$  [Baker'02].

$$L(U) = \{a \in \mathbb{C} \cup \infty \mid \exists n_k \rightarrow \infty \text{ with } f^{n_k} \rightarrow a\}$$

### Types of wandering domains:

U is	{	<b>escaping</b>	if $L(U) = \{\infty\}$
		<b>oscillating</b>	if $\{\infty, a\} \subset L(U)$ for some $a \in \mathbb{C}$ .
		<b>“bounded”</b>	if $\infty \notin L(U)$ .

▶ PICTURE

**Open question:** Do “bounded” domains exist at all?

### Oscillating WD in class $\mathcal{B}$

→ a recent result [Bishop'15, F-Jarque-Lazebnik'18, Martí-P-Shishikura'18]

# Examples of wandering domains

Examples of wandering domains are not abundant. Usual methods are:

- **Lifting of maps** of  $\mathbb{C}^*$  [Herman'89, Henriksen-F'09]. The relation with the singularities is limited to the finite type possibilities.
- **Infinite products** and clever modifications of known functions [Bergweiler'95, Rippon-Stallard'08'09...]
- **Approximation theory** [Eremenko-Lyubich'87]. No control on the dynamics of the global map (singular values, etc).



## State of the art

**Postsingular set:**  $P(f) =$  forward iterates of  $S(f)$ .

- Examples of WD exist: simply and multiply connected, fast escaping and slowly escaping, bounded (as sets) and unbounded, oscillating, univalent, ...

[Baker, Rippon+Stallard, Eremenko+Lyubich, F+Henriksen, Sixsmith, ...]

- The relation between limit functions and the singular values is partially understood ( $L(U) \in P(f)'$ ).

[Baker, Bergweiler *et al*]

- The relation between simply connected WD and  $P(f)$  is partially understood. [Rempe-Gillen + Mihailevic-Brandt'16, Baranski+F+Jarque+Karpinska'18]

- **Internal dynamics???**

## Lifting of holomorphic maps of $\mathbb{C}^*$ : An example

$F(w) = w \exp(\frac{1}{2}(z - \frac{1}{z}))$  and  $f(z) = z + 2\pi + \sin(z)$  are semiconjugate via  $w = e^{iz}$ .

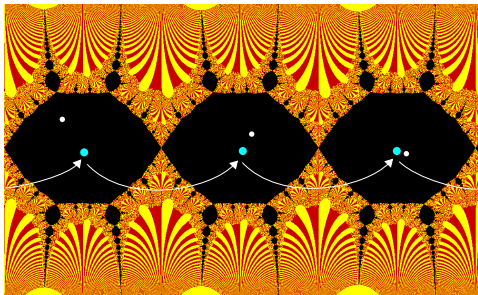
$$\begin{array}{ccc} \mathbb{C} & \xrightarrow{z+2\pi+\sin(z)} & \mathbb{C} \\ e^z \downarrow & & \downarrow e^z \\ \mathbb{C}^* & \xrightarrow{w \exp(\frac{1}{2}(z-\frac{1}{z}))} & \mathbb{C}^* \end{array}$$

- $F$  has a superattracting basin around  $z = 0$  which lifts to a **wandering domain**.

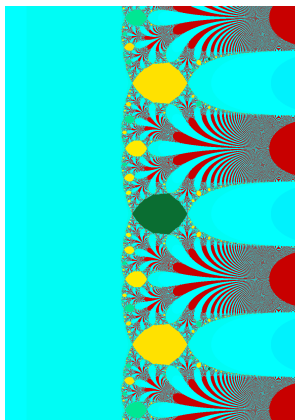
**BUT ORBITS REMEMBER WHERE THEY CAME FROM!!!**

# Lifting of holomorphic maps of $\mathbb{C}^*$ : Examples

## Lifts of superattracting basins

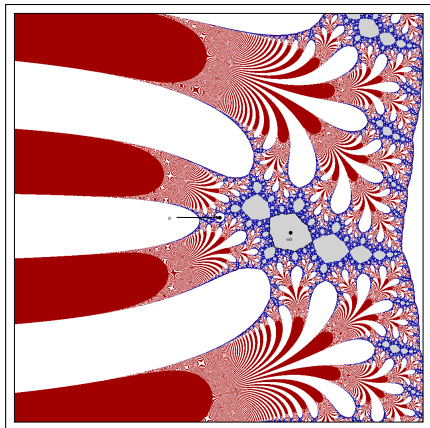


$z + 2\pi + \sin(z)$   
WD (black).

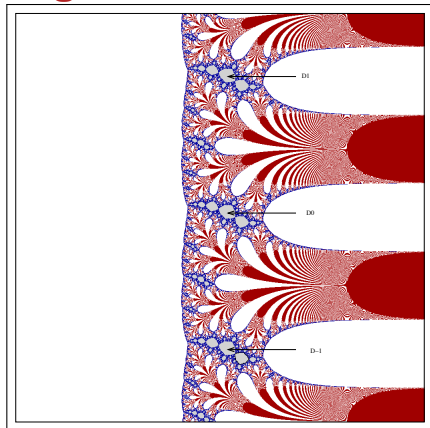


$\ln \lambda_1 + 2z - e^z$   
Wandering D. (yellow).

## Lift of a Siegel disk



$\lambda_0 w^2 e^{-w}$   
Siegel disk (gray).  
Basin of 0 (white).



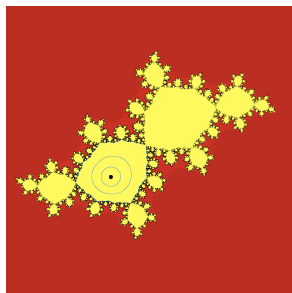
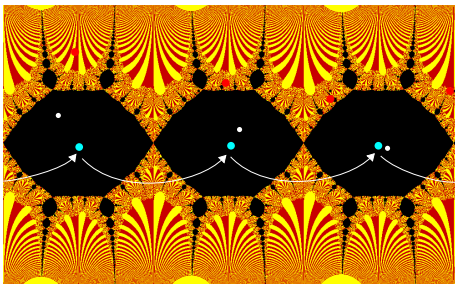
$\ln \lambda_0 + 2z - e^z$   
Wandering domain (gray).  
Baker domains (white).

## Lifting of holomorphic maps of $\mathbb{C}^*$ : Orbits remember

$U$  wandering domain obtained by lifting  $V = \exp(U)$

$$U_n := f^n(U)$$

- $V$  **attracting basin** of a fixed point  $p \rightarrow$  orbits converge to the orbit of  $\ln p$ , well inside  $U_n$ .
- $V$  **parabolic basin** of a fixed point  $p \in \partial V \rightarrow$  orbits converge to the orbit of  $\ln p \in \partial U_n$ .
- $V$  **Siegel disk**  $\rightarrow$  orbits rotate on the lifts of “invariant curves”.



## Questions

Hence internal dynamics on WD can be of different types.

### Questions

- How special are these examples?
- Can other internal dynamics occur?
- Is there a **“Classification Theorem”** as for periodic components?

*A priori* there is no reason to believe that because

$$f : U_n \rightarrow U_{n+1}$$

is somehow different for each  $n$ .

(Non-autonomous dynamics? Forward iterated functions systems?)

BUT, dynamics on **multiply connected** wandering domains are quite well understood [Baker'84, Zheng'06, Rippon-Stallard, Bergweiler-Rippon-Stallard'13]

# Internal dynamics

## Two perspectives:

- **Orbits move with the wandering domains** (like passengers in a cruise ship follow the ship's trajectory)
- On the other hand there are **intrinsic dynamics relative to each other**, or relative to the domains boundary (like passengers gathering at the buffet for dinner, or going to the ship edges to watch the water).



## Internal dynamics: the hyperbolic distance

Intrinsic tool which does not depend on the embedding of the WD in the plane.

- $U_n := f^n(U)$  hyperbolic ( $\#\partial U \geq 2$ ), simply connected.
- $\text{dist}_U(z, w)$  hyperbolic distance between  $z, w \in U$ .

### Schwarz-Pick Lemma

$U, V$  hyperbolic,  $f : U \rightarrow V$  holomorphic . Then, for all  $z, w \in U$ ,

$$\text{dist}_V(f(z), f(w)) \leq \text{dist}_U(z, w),$$

and “=” occurs iff  $f$  is an isometry (univalent case).

Hence  $f : U_n \rightarrow U_{n+1}$  contracts for all  $n$  and

$$\lim_{n \rightarrow \infty} \text{dist}_{U_n}(f^n(z), f^n(w)) = c(z, w) \geq 0 \text{ as } n \rightarrow \infty$$

Different limits for different pairs of  $z, w$ ???



## First classification theorem

Let  $U$  be a simply connected, bounded, wandering domain for an entire map  $f$  and let  $U_n := f^n(U)$ . Define the countable set of pairs

$$E = \{(z, w) \in U \times U \mid f^k(z) = f^k(w) \text{ for some } k \in \mathbb{N}\}.$$

Then, exactly one of the following holds as  $n \rightarrow \infty$ , **for all**  $(z, w) \notin E$ :

(1)  $U$  is **(hyperbolically) contracting**, i.e.

$$\text{dist}_{U_n}(f^n(z), f^n(w)) \longrightarrow c(z, w) \equiv 0;$$

(2)  $U$  is **(hyperbolically) semi-contracting**, i.e.

$$\text{dist}_{U_n}(f^n(z), f^n(w)) \longrightarrow c(z, w) > 0;$$

(3)  $U$  is **(hyperbolically) eventually isometric**, i.e.

$$\exists N > 0 \text{ such that } \forall n \geq N, \text{dist}_{U_n}(f^n(z), f^n(w)) = c(z, w) > 0.$$

## First classification theorem: Observations

- Lifts of **Siegel disks** are **eventually isometric** .
- Lifts of **attracting basins** AND **parabolic basins** are **contracting** .

We can actually refine the contracting condition to distinguish between these two cases.

### Definition

$U$  is **strongly contracting** if  $\exists c \in (0, 1)$  such that for all  $z, w \in U$

$$\text{dist}_{U_n}(f^n(z), f^n(w)) = \mathcal{O}(c^n).$$

Even stronger,  $U$  is **super-contracting** if for all  $z, w \in U$

$$\lim_{n \rightarrow \infty} (\text{dist}_{U_n}(f^n(z), f^n(w)))^{1/n} = 0.$$

## First classification theorem: Observations

- Lifts of **attracting basins** are **strongly contracting**
- Lifts of **parabolic basins** are **never strongly contracting** because

$$\frac{k}{n} \leq \text{dist}_{U_n}(f^n(z), f^n(w)) \leq \frac{K}{n}, \quad k, K \in \mathbb{R}.$$

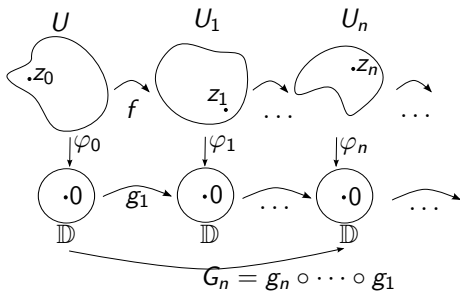
- **Super-contracting** WD are e.g. those containing orbits with infinitely many critical points.

The **Hyperbolic distortion** helps us differentiate the different cases.

▶ MORE

## A tool: non-autonomous discrete systems

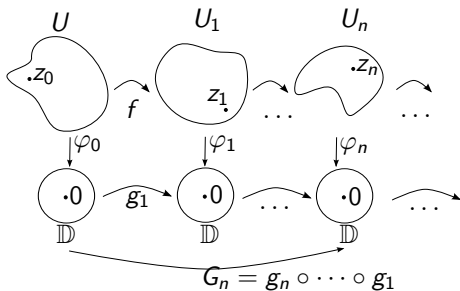
We choose a base point  $z_0 \in U$ ,  $z_n := f^n(z_0)$  and choose Riemann maps  $\varphi_n : U_n \rightarrow \mathbb{D}$  such that  $\varphi_n(z_n) = 0$ .



The maps  $g_n : \mathbb{D} \rightarrow \mathbb{D}$  (and hence  $G_n$ ) are **Inner Functions**.

## A tool: non-autonomous discrete systems

We choose a base point  $z_0 \in U$ ,  $z_n := f^n(z_0)$  and choose Riemann maps  $\varphi_n : U_n \rightarrow \mathbb{D}$  such that  $\varphi_n(z_n) = 0$ .



The maps  $g_n : \mathbb{D} \rightarrow \mathbb{D}$  (and hence  $G_n$ ) are **Inner Functions**.

We have a **non-autonomous dynamical system of self-maps of  $\mathbb{D}$** .

The rates of contraction depend on the values of  $g_n'(0)$   
(in fact  $\sum_n (1 - |g_n'(0)|)$ ).

## Orbit interactions with the boundary

Convergence of orbits to the boundary is a delicate concept, because the shape of  $U_n$  may degenerate.

We use the following definition.

### Definition (Convergence to the boundary)

We say that the orbit of  $z \in U$  **converges to the boundary** (of  $U_n$ ) if and only if

$$\lim_{n \rightarrow \infty} \text{dist}(f^n(z), \partial U_n) = 0.$$

Other definitions are possible, (e.g. taking into account the largest disk contained in  $U_n$ ). In any case, the following result holds.

## Second classification theorem

Let  $U$  be a simply connected, wandering domain for  $f$  entire and let  $U_n := f^n(U)$ . Then, exactly one of the following holds.

(1) **(CONV)** For all  $z \in U$

$$\lim_{n \rightarrow \infty} \text{dist}(f^n(z), \partial U_n) = 0$$

that is, **all orbits converge to the boundary**;

(2) **(BDAWAY)** For all points  $z \in U$  and every  $n_k \rightarrow \infty$

$$\lim_{k \rightarrow \infty} \text{dist}(f^{n_k}(z), \partial U_{n_k}) \neq 0,$$

that is, **all orbits stay away from the boundary**; or

(3) **(BUNGEE)** Neither (1) nor (2), i.e. **all orbits oscillate**.

## Convergence to the boundary: Observations

- If  $U$  is the lift of a **parabolic basin**, then  $U$  is of type (1) **(CONV)**.
- If  $U$  is the lift of a Siegel disk, or an **attracting basin**, then  $U$  is of type (2) **(BDAWAY)**.
- No **(BUNGEE)** WD can come from a lift.

### Question

In case (1) **(CONV)**, does there exist a **distinguished point** in the boundary which attracts all orbits? (Denjoy-Wolf for this setting?)



# Realization

The classification theorems leave us with a  $3 \times 3$  table of possibilities.

	$\rightarrow \partial$	$\nrightarrow \partial$	oscillating
contracting	Lift of parab. b.	Lift of attrac. b.	?
semi-contracting	?	?	?
ev. isometric	?	Lift of Siegel Disk	?

**Question:** Can all cases be realized?

**ANSWER:** YES.

# Realization Theorem

## Theorem

There exist transcendental entire functions  $f_i$ ,  $i = 1, 2, 3$ , having a sequence of bounded, simply connected, escaping wandering domains realizing the following conditions.

- (a) Every orbit under  $f_1$  converges to the boundary;
- (b) Every orbit under  $f_2$  stays away from the boundary;
- (c) Every orbit under  $f_3$  comes arbitrarily close to the boundary but does not converge to it.

Moreover, each of the examples  $f_i$ ,  $i = 1, 2, 3$ , can be chosen to be (hyperbolically) attracting, semi-attracting or eventually isometric.

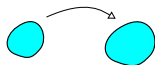
**Proof:** By approximation theorem (not explicit examples) :- (

**Gràcies per la vostra atenció!!**

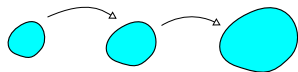
# Escaping WD



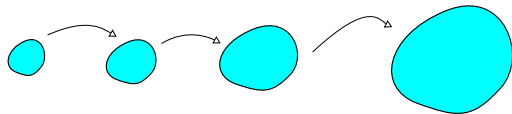
# Escaping WD



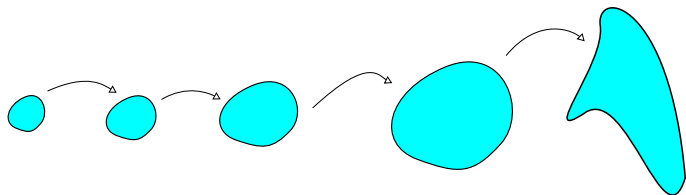
# Escaping WD



# Escaping WD

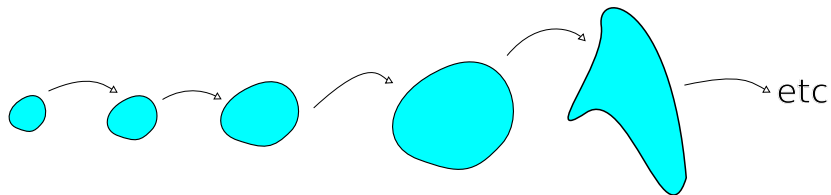


# Escaping WD





# Escaping WD



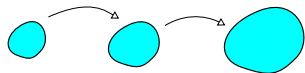
# Oscillating WD



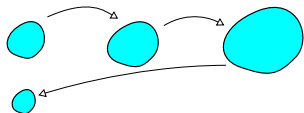
# Oscillating WD



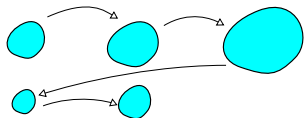
# Oscillating WD



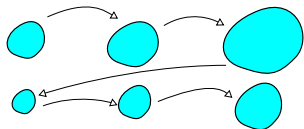
# Oscillating WD



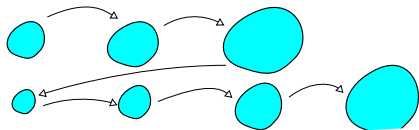
# Oscillating WD



# Oscillating WD

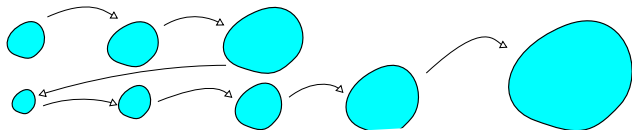


# Oscillating WD

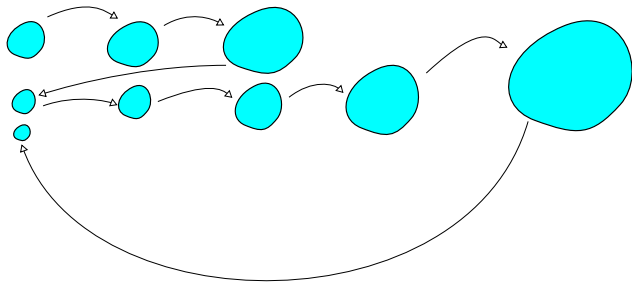




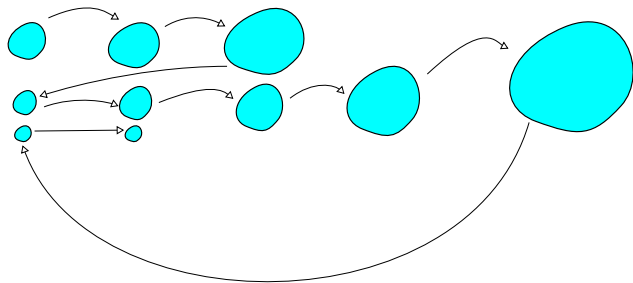
# Oscillating WD



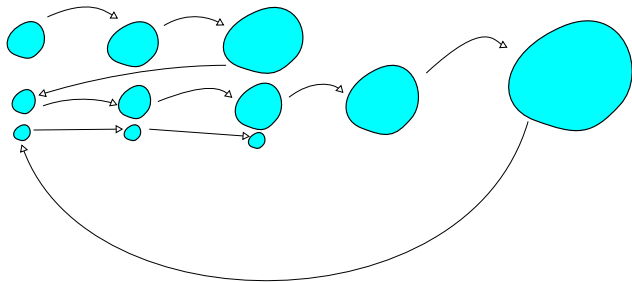
# Oscillating WD



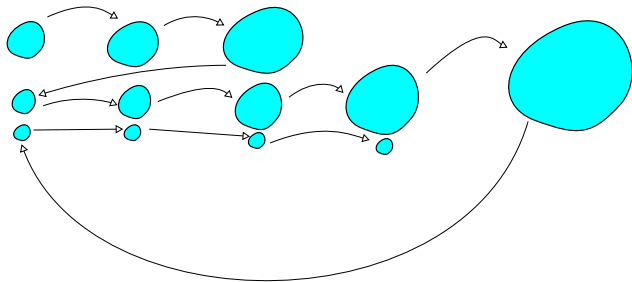
# Oscillating WD



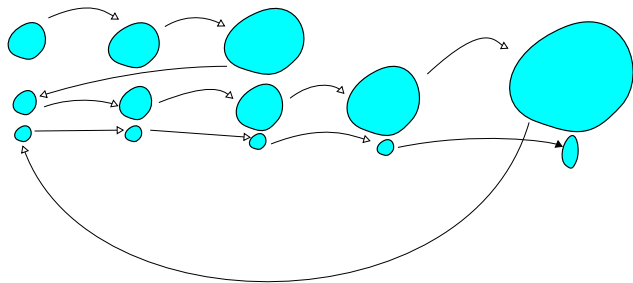
# Oscillating WD



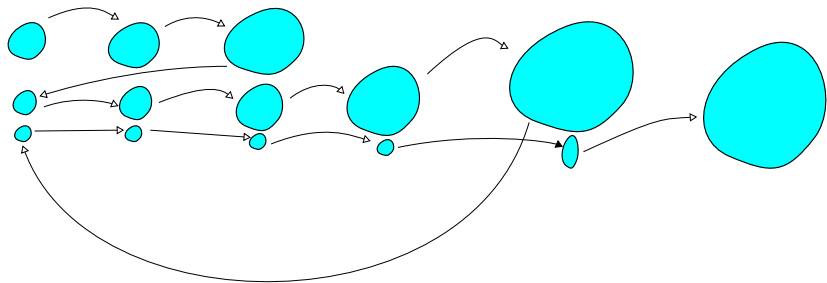
# Oscillating WD



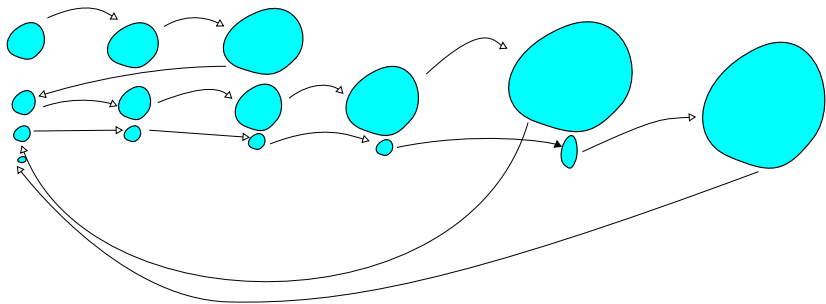
# Oscillating WD



# Oscillating WD

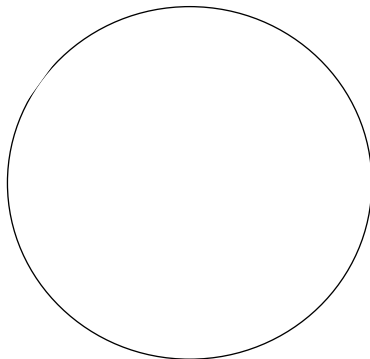


# Oscillating WD



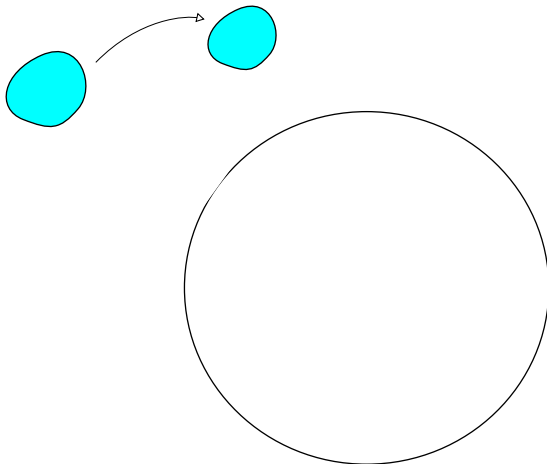


## "Bounded" WD



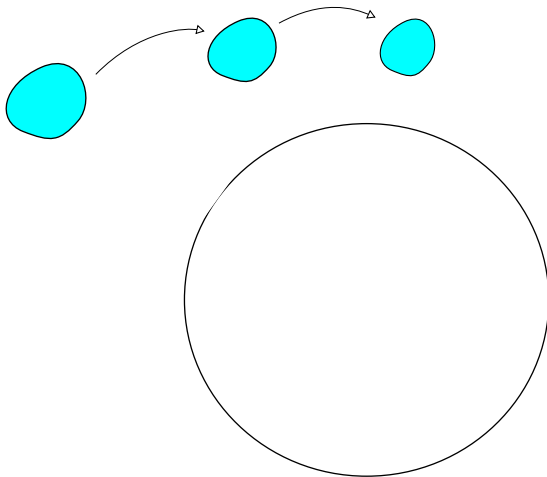
▶ GO BACK

## "Bounded" WD



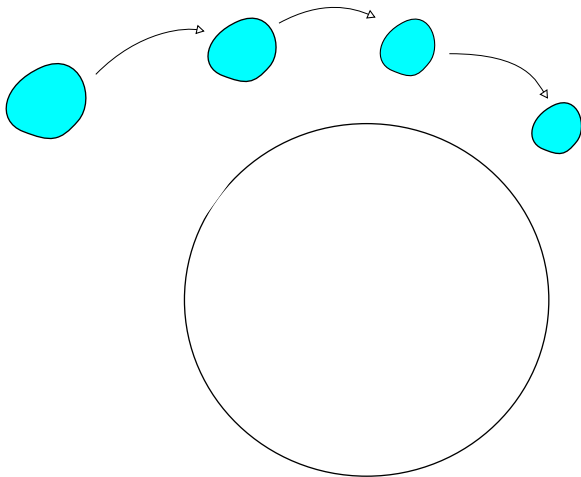
▶ GO BACK

## "Bounded" WD



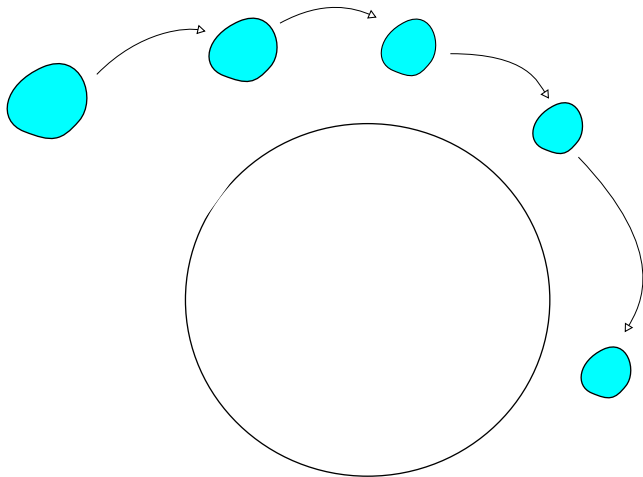
▶ GO BACK

## "Bounded" WD



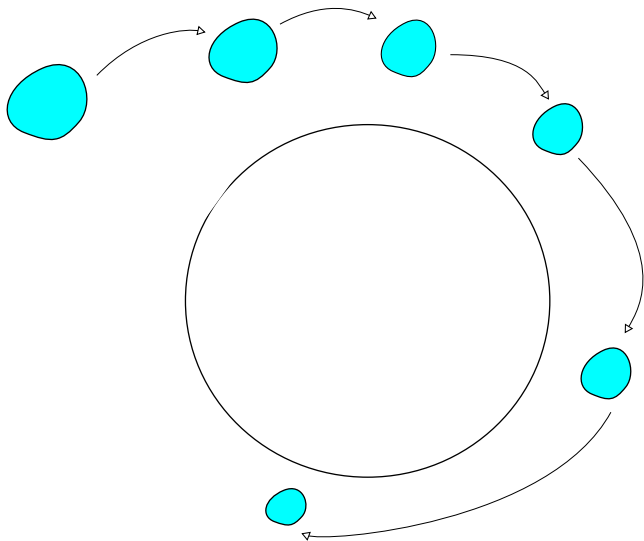
▶ GO BACK

## "Bounded" WD



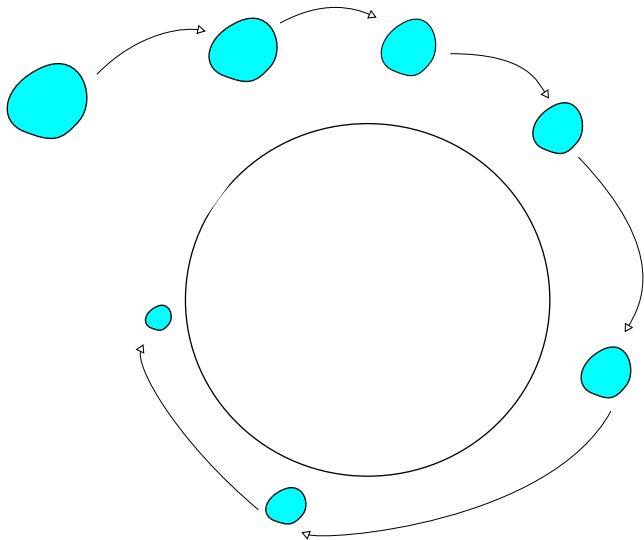
▶ GO BACK

## "Bounded" WD



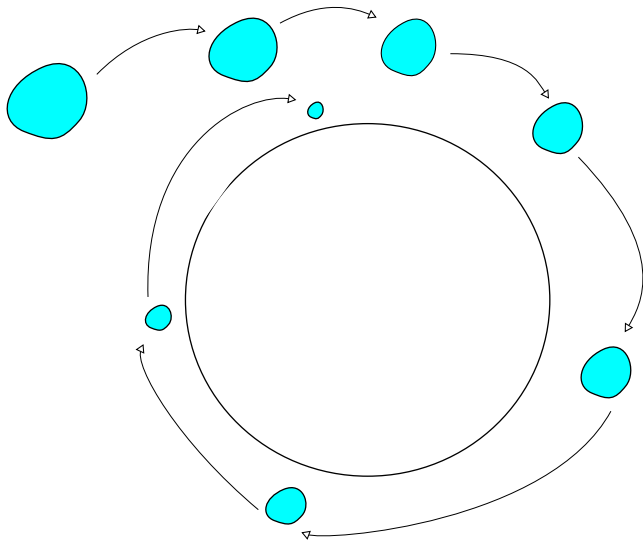
▶ GO BACK

## "Bounded" WD



▶ GO BACK

## "Bounded" WD



▶ GO BACK